
Output factsheet: Tools

Version 1

Project index number and acronym	CE339 RESTAURA
Lead partner	CITY OF NOWY DWÓR MAZOWIECKI
Output number and title	O.T1.1 Handbook on PPP in built heritage revitalisation projects
Responsible partner (PP name and number)	FOUNDATION FOR LANDSCAPE PROTECTION, PP03
Project website	http://www.interreg-central.eu/restaura
Delivery date	05.2017

Summary description of the key features of the tool (developed and/or implemented)

The Handbook on PPP in built heritage revitalisation projects is a document prepared in English and addressed to both public and private institutions potentially interested in participation in public-private partnership (PPP) projects. It gives a general review of the subject and is particularly useful for the PPP newcomers who want to extend their knowledge on the subject.

The Handbook includes PPP legal framework and public perception analysis. It also describes the general PPP in revitalisation projects implementation procedure with relevant projects and case studies examples from Croatia, Poland, Slovakia, Slovenia and other countries.

The Handbook is divided into 5 chapters, plus the Annex and Bibliography:

1. *Definition of PPP:*
 - what distinguishes PPP from other forms of public-private cooperation;
 - what the *value for money* analysis means;
 - what relations are established between the public and private PPP project partners;
 - what risks are involved in the PPP projects;
 - links to PPP legislation.
2. *PPP: benefits and fears:*
 - PPP financial and non-financial benefits both for public and private institutions;
 - fact-based counter-arguments against widespread fears related to cooperation under PPP. The Handbook authors have discovered that facts contradict common ideas about PPP being a cooperation model prone to corruption or endangered with privatization. It also turned out that prolonged procedures and relatively high costs of PPP preliminary procedures are justified in the big picture.
3. *Implementing PPP projects:*
 - internal institutional preparation;
 - pre-implementation analysis and why it is vital for the PPP project's success;
 - closing the contract and why it takes time;
 - implementation;
 - monitoring.
4. *Cooperation with a built heritage protection institution / conservation inspector and integrated revitalisation:*
 - best practices of mandatory cooperation with built heritage protection institutions;
 - integrated approach and participatory governance: new ways of thinking and acting for monuments restoration.
5. *Financial models and funding opportunities:*
 - dedicated PPP in revitalisation financing models and why to choose them;
 - EU funding and co-funding possibilities.

ANNEX: Successful PPP in revitalisation projects in Croatia, Poland, Slovakia and Slovenia.

Bibliography

NUTS region(s) where the tool has been developed and/or implemented (relevant NUTS level)

HR0 (whole Croatia)
PL1, PL2, PL3, PL4, PL5, PL6 (whole Poland)
SI0 (whole Slovenia)
SK0 (whole Slovakia)

Expected impact and benefits of the tool for the concerned territories and target groups

The Handbook is expected to be a useful basic document for all bodies and individuals interested or involved in implementation of revitalisation projects within the PPP model, both public (e.g. municipalities, commune offices, dedicated PPP departments on all levels of public administration) and private (e.g. entrepreneurs, third sector organizations). It can play a particularly important role in fighting off common and still proliferating stereotypes related to PPP, such as being creating room for corruption and privatization. Thus, the Handbook can contribute to improving the widespread and unjustified bad reputation of the PPP model as well as to enhancing cooperation for the benefit of cultural heritage.

The Handbook has been planned as a tool with wide European/international coverage. That is why it has been prepared in English and is available online on many sites:

- the RESTAURA project's website;
- the project partners' websites;
- the PPP-related associations' websites (for details, see section *References to relevant deliverables and web-links* below).

Sustainability of the tool and its transferability to other territories and stakeholders

The Handbook is a basic document on PPP in revitalisation and its sustainability is guaranteed through its general accessibility online: on the RESTAURA project's website, on the project partners' and PPP-related associations' websites (for details, see section *References to relevant deliverables and web-links* below). Although the legislation-related data can outdate with time, the basic approach and especially the practical examples will long serve as a valuable and unique reference in the subject.

Since its completion at the end of May 2017, the Handbook has been available for the English-speaking persons interested in PPP from the territories not enumerated in the section *NUTS region(s) where the tool has been developed and/or implemented* (above). Its recommendations are easily transferable into most national legal contexts because it contains:

- 1) reference links to laws on PPP in individual countries (with more detail for Croatia, Poland, Slovakia and Slovenia);
- 2) references to European or international support institutions that can advise in case of doubts;
- 3) case studies based on real experience in PPP in revitalisation that bring in practical perspective for PPP in revitalisation projects implementation.

Lessons learned from the development/implementation process of the tool and added value of transnational cooperation

The Handbook's final text was consulted with national and local authorities, monuments protection experts and private organizations in each of the RESTAURA project countries. As a result, numerous interesting case studies were collected to complement the Handbook's content with real best practice examples that share experience e.g. on looking for a private partner for a PPP project, do's and don'ts of cooperation with the monuments protection institutions or local inhabitants, counter-arguments against widespread stereotypes on PPP. The consultants' input added transnational context to the subject of PPP in revitalisation, as the projects of this type are still rare in the RESTAURA project countries (e.g. in Slovakia no such project has been implemented to date). To make the perspective even wider, case studies from other countries were found in the free access reliable sources (internet research).

During the desktop research, it turned out that despite different legal national frameworks in various countries, PPP in revitalisation projects face similar implementation obstacles there, such as difficulties with finding partners, negotiating project conditions that will be satisfactory for all parties or choosing the optimal financing model. Nevertheless, extensive experience gathered on the subject and PPP-dedicated institutions that emerge all around the world (such as EPEC, C.R.E.A.M. or PPP Canada) allow to be optimistic about the possibility of preparing generalized recommendations or receiving expert advice in dubious cases.

References to relevant deliverables and web-links If applicable, pictures or images to be provided as annex

The Handbook in PDF format is attached as an annex to this Output Factsheet. In June 2017, the RESTAURA project partners' comments on the Handbook have been gathered and inserted in the updated version 2 of the Handbook.

Since August 2017, the Handbook's PDF file has been made available for download on the RESTAURA project website in the NEWS section (<http://bit.ly/HandyHandbook>) and in the Helpdesk Library in English.

Handbook for download in the C.R.E.A.M.'s online library: <http://bit.ly/HandbookCREAM>

Summary description of the external evaluation of the output If applicable, the evaluation to be provided as an annex

1. The evaluation of the Handbook has been performed by local Polish inspectors for monuments. After reading through the document, they have found no need to improve or modify it.
2. Also, the Handbook has been sent to the C.R.E.A.M. Alliance (<http://www.cream-europe.eu/>), asking for evaluation and dissemination. In reply, C.R.E.A.M.'s EU Project Co-ordinator pointed out the following:
 - In general, the Handbook is a good starting point;
 - The public side should be animated to start concrete PPP Heritage projects, e.g. by sending them blank PPP application forms;
 - It is worth mentioning that the Sustainable Development Goals (SDG) cannot be implemented without the PPP approach;
 - C.R.E.A.M. will send the Handbook to their members and partners.

The Handbook has been added to the C.R.E.A.M.'s online library where you can download it:
<http://bit.ly/HandbookCREAM>